

## CITY OF ASHEVILLE and ASHEVILLE REGIONAL HOUSING CONSORTIUM

## HOME Investment Partnerships Program and Community Development Block Grant Program

# APPLICATION FOR FUNDING for NON-CONSTRUCTION PROJECTS

For grant year starting July 1, 2007

Application workshop: December 8, 2006, City Hall 6<sup>th</sup> Floor - 10:00 a.m. – 12 noon

\*\* Pre-application form to be submitted by all applicants by December 29, 2006 \*\*

I Do Not Submit This Page

### GENERAL APPLICATION INSTRUCTIONS

### Which Form?

This form is to apply for CDBG, HOME, or ADDI funds for **non-construction projects**. This includes:

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Social services (CDBG only)
Housing services (CDBG only)
Tenant Based Rent Assistance (HOME only)
Down-payment assistance (CDBG, HOME, or ADDI)
Emergency Home Repair (CDBG only)
Job training and small business assistance (CDBG only)
Planning (CDBG or HOME)

There is a separate form for construction projects: that is projects involving the acquisition or improvement of real property, including housing construction and rehabilitation programs. Down-payment assistance to homebuyers is counted as non-construction and so are emergency (minor) repair programs. Call CD staff if in doubt which form to use.

### CDBG or HOME?

This is not a simple question, since the programs do overlap.

- CDBG funds can be used for a wide variety of non-construction programs, but must be used within the City of Asheville.
- HOME and ADDI funds may be used anywhere in the Consortium (Buncombe, Henderson, Madison and Transylvania Counties)
- The only non-construction uses eligible for HOME funding are Tenant Based Rental Assistance and down-payment assistance to homebuyers
- ADDI funding can be used only for downpayment assistance.

If in doubt, please contact City of Asheville staff. If the project is eligible for both sources of funding (e.g. down-payment assistance) you must choose which one to apply for, as *CDBG and HOME cannot be used on the same project*.

### **Eligible Applicants**

### **HOME or ADDI applicants must be:**

- Consortium member governments; or
- Non-profit agencies applying through a member government (outside Asheville: contact your member government for additional application requirements);

### CDBG applicants must be:

 Non-profit agencies with a primary purpose to provide housing, human services or economic development services within the City of Asheville.

"Non-profit" means having a 501c(3) or equivalent IRS tax exemption notice.

All applicants must demonstrate a track record of continuous, active, and relevant operation for at least two years.

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**Income Eligibility** 

In general, all projects must benefit persons with household income below 80% of median income adjusted for family size (see table on page V).

**Grant Period** 

The funding period starts <u>July 1, 2007</u>. Costs incurred before that date cannot be reimbursed. You should plan to expend all funds by <u>June 30, 2008</u>.

**Pre-Applications** 

<u>All</u> interested applicants must submit a pre-application form by Friday, <u>December 29, 2006</u>. It may be emailed to Adria Hardy, at the Asheville Community Development Division Office – <u>ahardy@ashevillenc.gov</u>, or faxed to her <u>attention</u>, at 259-5428.

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**Pre-Application Meetings** 

Applicants who have not previously received CDBG or HOME funding for the same, or a very similar project, must set up a meeting with CD staff before submitting their full application, to ensure that your program is eligible and to discuss other requirements.

**Special Conditions** 

Please note that total funding to support social service programs is limited to 15% of the CDBG budget. Competition for these funds is particularly tight.

Invalid Applications

Applications may be rejected without evaluation for the following reasons:

1) Program not clearly eligible according to CDBG/HOME regulations.

- 2) Applicant has demonstrated poor past performance in carrying out Cityfunded programs or complying with federal regulations.
- Applicant fails to provide audited financial statements or other required information.

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### Project Evaluation & Funds Allocation

Applications will be evaluated by staff and assigned scores according to criteria based on the priorities in the 2005-2010 Consolidated Strategic Plan, the feasibility of the project, and the capacity of the agency to carry it out. These scores will be used as a guide in allocating funds, but will not be the sole factor in determining whether a program will be funded or how much funding it will receive.

After this initial evaluation, CDBG applications will be reviewed by the City of Asheville's Housing and Community Development Committee, and HOME applications by the Asheville Regional Housing Consortium Board. These bodies will present their allocation recommendations to the Asheville City Council in the form of a Consolidated Action Plan for the CDBG and HOME programs. In addition, the City will seek citizen input on the Plan through public hearings. The schedule is on the next page.

Applicants should understand that this is a competitive application process for limited funding. There will be applications for projects that satisfy many of the evaluation criteria but are not funded. Successful applications may be funded for less than the amount requested.

### Schedule for CDBG & HOME Planning for 2007

| 2006   | Action  |
|--|---|
| November 14 (Tuesday)  | Public Hearing in Brevard   |
| November 15 (Wednesday)  | Public Hearing in Asheville   |
| December 8 (Friday)<br>(10:00 – 12:00 Asheville City Hall,<br>6 <sup>th</sup> floor training room) | Training session for applicants. You are strongly advised to attend |
| December & January   | 1-on-1 Technical Assistance – required for new applicants           |
| December 29 (Friday)   | Deadline to submit pre-application form                             |
| 2007   | Action  |
| January 31 (Wednesday)   | Deadline for applications   |
| February   | Staff review of applications  |
| March 14 (tentative)   | HOME applicant interviews   |
| March 12 – 21 (to be arranged)   | CDBG applicant interviews   |
| March 25 (Sunday)  | Draft Plan published for public comment                             |
| April 10 (Tuesday)   | Asheville City Council: Public Hearing on draft plan                |
| April 20 (Friday)  | Deadline for citizen comments on draft plan                         |
| April 24 (Tuesday)   | Asheville City Council approves Plan                                |
| May 11   | Deadline for submitting Plan to HUD                                 |

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### Income Limits For Extremely Low, Very Low, and Low Income Households

(Based on HUD data on area median family income for FY 2006. We expect to receive revised limits for 2007 early next year)

| County         | Category   | % AMI  | 1      | 2      | 3 Person | 4 Person | 5 Person | 6 Person | 7 Person | 8 Person |
|----------------|------------|--------|--------|--------|----------|----------|----------|----------|----------|----------|
| -              |            |        | Person | Person |          |          |          |          |          |          |
| Buncombe,      | Extremely  | <30%   | 10,550 | 12,100 | 13,600   | 15,100   | 16,300   | 17,500   | 18,700   | 19,950   |
| Henderson &    | Low Income |        |        |        |          |          |          |          |          |          |
| Madison        | Very Low   | 31-50% | 17,650 | 20,150 | 22,700   | 25,200   | 27,200   | 29,250   | 31,250   | 33,250   |
| (incl. City of | Income     |        |        |        |          |          |          |          |          |          |
| Asheville)     | Low Income | 51-80% | 28,200 | 32,250 | 36,250   | 40,300   | 43,500   | 46,750   | 49,950   | 53,200   |
|                |            |        |        |        |          |          |          |          |          |          |

AMI = Area Median Family Income

| County       | Category                | % AMI  | 1      | 2      | 3      | 4 Person | 5 Person | 6 Person | 7 Person | 8 Person |
|--------------|-------------------------|--------|--------|--------|--------|----------|----------|----------|----------|----------|
|              |                         |        | Person | Person | Person |          |          |          |          |          |
| Transylvania | Extremely<br>Low Income | <30%   | 10,850 | 12,400 | 13,950 | 15,500   | 16,400   | 18,000   | 19,200   | 20,450   |
|              | Very Low<br>Income      | 31-50% | 18,050 | 20,650 | 23,200 | 25,800   | 27,850   | 29,950   | 32,000   | 34,050   |
|              | Low Income              | 51-80% | 28,900 | 33,050 | 37,150 | 41,300   | 44,600   | 47,900   | 51,200   | 54,500   |

Client Income eligibility: HOME- and CDBG-assisted programs must serve low income clients (less than 80% of AMI). For HOME rental programs lower income limits apply. For all HOME programs and for CDBG programs providing direct financial benefits, all clients must meet income eligibility limits. For most other CDBG programs at least 51% of clients must meet income limits. Certain categories of CDBG clients e.g. the homeless, may be presumed to meet income limits. If you are not sure about your program's eligibility, please call CD staff.

Client Income Tracking: As well as ensuring client eligibility, HUD requires us to report the numbers of clients served in each of the income groups listed above.

### DETAILED APPLICATION INSTRUCTIONS

- <u>All</u> applicants must submit a pre-application form by <u>Friday</u>, <u>December 29, 2006</u>. Applicants who have not previously received CDBG or HOME funding for the same or a very similar project must set up a meeting with CD staff in December or January, before submitting their full application.
- Submit original and <u>four</u> copies of **full application** by 5:00 PM on <u>Wednesday</u>, <u>Jan. 31</u>, <u>2007</u>.
- Forms may be submitted by hand at Asheville City Hall, Fifth Floor, or by mail to:

City of Asheville

Community Development Division

Post Office Box 7148

Asheville NC 28802-7148

- Electronic submission is acceptable for the pre-application form, but <u>not</u> for the full application form.
- Please complete each question <u>directly</u> on the application form. Attachments should only be used to provide supplemental information. The application form may be completed manually or reproduced in your word processor system (recommended). The application form can be downloaded from the City website at <a href="http://www.ashevillenc.gov/planning/cdbg.htm">http://www.ashevillenc.gov/planning/cdbg.htm</a>
- Applications should be fastened with a paper clip or other fastening that can easily be undone for copying. Please do not use binders, covers, or staples.
- Applications should start at Page 1 (Application Information). Do not include a cover letter or the instruction pages; any covers will be discarded.
- The <u>required</u> attachments listed on Page 2 should be <u>attached at the back</u> of the original application in the order listed. If lengthy, they may be omitted from the copies.
- Additional printed documentation, photographs and maps may be placed <u>immediately</u> <u>behind the page they refer to</u>. They should be included in all four copies. All pages of your application after insertions must be numbered consecutively.
- Narrative responses should be 1½-spaced in a typeface no smaller than 11-point.
- Applications exceeding 20 pages (excluding <u>required</u> attachments) and pages larger than 11x17 or in color are strongly discouraged. We may require you to furnish up to 15 additional copies of such applications or pages at your own expense.
- Please read all questions and instructions carefully. The care that goes into accurately
  and informatively completing this application is evidence of your agency's ability to
  manage the complexities of CDBG and HOME program requirements.

Staff in the City's Community Development Division will gladly answer questions about the CDBG and HOME programs, this form and the application process, but cannot assist in writing applications or offer comment on drafts. Telephone 259-5735; e-mail: kivey@ashevillenc.gov.

## PRE-APPLICATION FORM Non-Construction

All applicants must submit a pre-application to Adria Hardy – <u>ahardy@ashevillenc.gov</u>
Please submit this form (one page) as early as possible,
and <u>no later</u> than Friday, December 29, 2006

| Name of Applicant:            |  |
|-------------------------------|--|
| Provisional Name of           | ? Project:   |
| <b>Contact Person</b> :       |  |
| Address:                      |  |
| City/State/Zip:               |  |
| Telephone Number:             |  |
| Project Type (check           | one or more):  |
|                               | Social services (CDBG only)  |
|                               | Housing Services (CDBG only) Tenant Based Rent Assistance (HOME only)  |
|                               | Down-payment assistance (CDBG, HOME, ADDI)   |
|                               | Job training and small business assistance (CDBG only)   |
|                               | Planning (CDBG or HOME)  |
|                               | Other:   |
| Applying for (check           | one): CDBG HOME ADDI Not sure yet  |
| Likely amount of re           | quest (check one): less than \$50,000  |
|                               | \$50,000-100,000   |
|                               | more than \$100,000  |
| heck <u>one</u> of the follow | ing statements:  |
|                               | ously received CDBG or HOME funds for this project or one very not need one-on-one technical assistance before submitting our application. |
|                               | eviously received CDBG or HOME funds for this project and request  |

## CITY OF ASHEVILLE ASHEVILLE REGIONAL HOUSING CONSORTIUM

## Application for Funding for a NON-CONSTRUCTION PROJECT

| SI<br>APPLICAN                               | ECTION I<br>IT INFORMATION |
|--|----------------------------|
| Full Legal Name of Applicant:                |                            |
| Name of Project:                             |                            |
| Contact Person:                              | Title:                     |
| Telephone Number:                            | Email:                     |
| Agency Address:                              |                            |
| City, State, Zip:                            |                            |
| Total Funds Requested: \$                    | _                          |
| Applying as: Asheville CDBG Subrecipient     | (CDBG only)                |
| Asheville HOME Subrecipien                   | t (HOME only)              |
| Other Member Government                      | (HOME only)                |
| Subrecipient agency, if a                    | nny:                       |
| ☐ CHDO                                       | (HOME only)                |
| document has been duly authorized by the gov |                            |
| Signature:  Mayor/Chair of Board             | Date                       |

|                                       | <b>A.</b>   | Formatted: Font color: Auto             |
|---------------------------------------|---|---|
| _                                     | CKLIST OF DOCUMENTATION INCLUDED WITH THIS APPLICATION: e an "X" in each box.)  |   |
| You n                                 | nust provide an ORIGINAL plus FOUR COPIES of the following sections in the order listed:  |   |
| D D D D D D D D D D D D D D D D D D D | Applicant Information Program Description Program Budget Agency Management Disclosure of Potential Conflicts of Interest  JIRED ATTACHMENTS                 |   |
|                                       |   |   |
|                                       | e provide one copy of each of the following documents, unless they are already on file with the City with Community Development staff if you are not sure): | Formatted: Font color: Auto             |
|                                       | 1. An <b>organizational chart</b> . Highlight staff who will be responsible for this project  |   |
|                                       | 2. By-Laws, Articles of Incorporation, and 501c(3) determination letter.  | Formatted: Font: Bold, Font color: Auto |
|                                       | 3. A copy of your most recent <b>audited financial statement</b> , <u>including the management letter</u> , if one was issued.                              | Formatted: Font color: Auto             |
|                                       | 4. A copy of your most recent available <b>financial statements</b> .   |   |
|                                       | 5. A complete list of the members of your current <b>Board of Directors</b> . Include addresses, phone numbers, and relevant affiliation.                   |   |
|                                       | 6. A copy of your ADA Policy  |   |
|                                       | <u> </u>  | Formatted: Font color: Auto             |

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## SECTION II

|        | PROGRAM DESCRIPTION   |   |
|--------|---|---|
| II.A.  | Program Title:  |   |
| II.B.  | Program Location(s) (be as specific as possible):   |   |
| ,II.C. | Type of Activity (check one):   | Deleted:Page Break Formatted: Indent: Left: 0", First |
|        | Human Services Housing Services related to HOME-assisted projects Job training Small Business Assistance Tenant-Based Rent Assistance Homebuyer downpayment assistance Other (Specify)  | line: 0"  |
| II.D.  | <b>Program Purpose</b> (one sentence stating the purpose of the program in simple language):  |   |
| II.E.  | <b>Program Justification</b> . Who are the targeted clients? What are their needs? What objective data can you quote in evidence of needs? (Note that there is another question below covering the detail of <u>how</u> the program will be operated):  |   |
| II.F.  | <b>Program Operation</b> . Explain simply and clearly how your program works. This may take more than one page. Please concentrate on practical details - what, where, when, who and how - rather than program philosophy or purpose. <b>Do not assume that the reader knows anything about your operations</b> . For service programs, this is best done by describing the steps by which a <b>client</b> progresses through the progra rather than focusing on what <b>staff</b> do. Be sure to describe how clients access the program in the first place how much time they typically spend with staff in program activities, how they provide evidence of their eligibility, and how your collaboration with other agencies helps them. For minor rehab programs only, describe in detail how you address lead-based paint testing and hazard control on property built before 1970. | m,  |

| II.G.    | Is this a hu                              | man services program which is not already CDBG-funded? YES NO   | {      | Formatted: Font color: Auto                                |
|----------|---|---|--------|--|
|          | If YES,                                   |   |        |  |
|          | a)  | Briefly describe other relevant programs in the Asheville area and demonstrate that there is a gap in service provision.  |        |  |
|          | b)  | Say how CDBG funding will enable you to provide new or expanded services (be quantitative)  |        |  |
| <b>v</b> |   |   | h      | Deleted: ¶   |
|          |   |   | \<br>\ | 91<br>T  |
| ш.н.     | independe<br>program.<br><i>For_rehab</i> | dentify your program team by <u>name</u> , <u>job title</u> , and <u>employment status</u> (employee, nt contractor, or volunteer), and clearly describe each person's job responsibilities in the (use job titles consistently here, on the agency organizational chart, and in the Budget salary table) (repair, programs only, identify project staff that have completed training in Lead Safe Work with date) or have any more extensive training in LBP hazard control. |        | ¶ ¶ ¶ ¶ ¶ ¶ ¶ ¶ ¶ ¶ ¶ ¶ ¶ Indent: Left: 0", First line: 0" |
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|          |   |   |        |  |

**II.I. Timetable.** For **new programs**, or programs in which **significant improvements** are proposed, complete the following table to identify the key implementing steps and target dates. Add rows as needed.

| Action | Start date | Target date for completion |
|--------|------------|----------------------------|
|        |            |                            |
|        |            |                            |
|        |            |                            |
|        |            |                            |
|        |            |                            |
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| CC 1 1 1 4 C  |  |  | 1 1   |   |  | ant to assist with the                               |                    |  |
|---|--|--|---|---|--|--|--------------------|--|
| aff and overhead costs of p   |  |  |   |   |  |  | [                  | Formatted: Font color: Auto  |
| HOM   | Ex HO  | pected N<br>ME-eligil  | on Underway or<br>umber of<br>ole units in<br>ville ONLY                                  | Planned in <u>Cl</u>                        | ty of Ashevill                           | Amount project                                       |                    |  |
| Project Name  | New<br>Constr.   | Rehab  | Down-payment<br>assistance only<br>Excluding ADDI   | Unit type:<br>S/F or M/F                    | Expected completion date                 | project delivery<br>or HOME-funded<br>developer fees | ı                  |  |
|   |  |  |   |   |  | \$<br>\$   |                    |  |
| Unduplicated Total:   |  |  |   |   |  | \$   |                    |  |
| Chaupheated Total.  | 1  |  |   |   |  |  |                    |  |
| endupredicti Totali   |  | l  |   |   |  |  |                    | Formatted: Indent: Left: 0", First line: 0"                        |
| .KPROGRAM_TARG  | ETS AND  | OUTCO  | MES   |   |  |  | <u> </u><br>       |  |
| ·   | Please show  | numbers  | s of clients, <b>not p</b>  |   |  | Current income                                       | < - <del>-</del> [ | Deleted: Page Break  |
| .K. PROGRAM TARG  Client Demographics. 1  limits are on page V                | Please show<br>of the gene   | numbers<br>eral instru   | s of clients, <b>not po</b><br>actions. For CDB   | G Applications                              | s, numbers sho                           | Current income                                       | < - <del>-</del> [ | Deleted: Page Break  |
| .K. PROGRAM TARG  Client Demographics. 1  limits are on page V                | Please show of the gene Number   | numbers eral instru  of Person  Person   | s of clients, <b>not p</b>  | G Applications                              | s, numbers sho                           | Current income uld reflect Ashevil                   | le                 | Deleted: Page Break  |
| Client Demographics. I limits are on page V residents only.  Check whether co | Please show of the gene Number ounting by  | numbers eral instru  of Person  Person   | s of clients, <b>not po</b> notions. For CDB no or Households rsons: rvices) no of 31-50% | G Applications  Served, by Intor  of 51-80% | come Group  Househo (Rehab, DPA, of >80% | Current income ould reflect Ashevil                  | le                 | Deleted: Page Break Formatted: Font color: Auto                    |
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| Client Demographics. I limits are on page V residents only.  Check whether co | Please show  To the general strength of the general st | of Person  Second Secon | s of clients, <b>not po</b> notions. For CDB ns or Households rsons: rvices) ns of 31-50% | G Applications  Served, by Intor  of 51-80% | come Group  Househo (Rehab, DPA, of >80% | Current income ould reflect Ashevil                  | le                 | Deleted: Page Break Formatted: Font color: Auto  Deleted: Deleted: |

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### 2. Strategic Plan Program Outcomes, Targets and Measurement

The table below lists the non-construction goals from our 2005-2010 Strategic Plan (pages 96-97). You must select one (or more) of these outcomes. However, you may also tell us about other outcomes and targets that you plan to achieve which are not listed here.

| OUTCOME GOALS  Benefit to the community, clients, or changes in client behavior or conditions | PERFORMANCE OUTCOME INDICATORS  What will you measure, and how? | ANNUAL OUTCOME TARGETS  (Clients or households who achieve desired change)  # Served should be the same as the total served in IIK, Item  2007-2008 Projected 2006-2007 Projected 2005-2006 |               |   |             |               |   |          | Item #1       |   |  |
|---|---|---|---------------|---|-------------|---------------|---|----------|---------------|---|--|
| enunges in enem senuvior or continuous  | and now.  | #<br>Served   | #<br>Achieved | % | #<br>Served | #<br>Achieved | % | # Served | #<br>Achieved | % |  |
| Increase the safety & preserve housing for low-income owners                                  |   |   |               |   |             |               |   |          |               |   |  |
| 2. Prevent homelessness & stabilize households  |   |   |               |   |             |               |   |          |               |   |  |
| 3. Increase the number of homeless that obtain entitlement benefits                           |   |   |               |   |             |               |   |          |               |   |  |
| 4. Engage homeless persons in mental health treatment / counseling                            |   |   |               |   |             |               |   |          |               |   |  |
| 5. Improve financial well being of low-income persons   |   |   |               |   |             |               |   |          |               |   |  |
| 6. Increase homeownership for low-income and minority households                              |   |   |               |   |             |               |   |          |               |   |  |
| 7. Improve student academic performance   |   |   |               |   |             |               |   |          |               |   |  |
| 8. Complete job training program  |   |   |               |   |             |               |   |          |               |   |  |
| 9. Gain sustaining employment   |   |   |               |   |             |               |   |          |               |   |  |
| 10. Create/sustain/expand small businesses  |   |   |               |   |             |               |   |          |               |   |  |
| 11. Create jobs   |   |   |               |   |             |               |   |          |               |   |  |
| 12. Other (insert)  |   |   |               |   |             |               |   |          |               |   |  |

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Deleted: After choosing your outcome goals, delete the remaining rows in the table to allow yourself room for narrative under Performance Outcome Indicators. ¶ Under Performance Outcome Indicators, describe the specific changes you will look for and how you will measure the change in order to know whether or not your program outcomes are being achieved. Describe how you will monitor progress in implementing the program and who will be responsible. Attach

copies of the data collection tools you

## will use. Deleted:

| <u>3.</u> | For the outcome(s) selected above, describe below the specific changes you will look for and how | <b>*</b> >   |
|-----------|--|--------------|
|           | you will measure the changes, in order to know whether or not your program outcomes are being    | _ <i>i</i> / |
|           | achieved. Describe how you will monitor progress in implementing the program and who will be     | ' "          |
|           | responsible. Attach copies of the data collection tools you will use.                            | ,            |
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### SECTION III BUDGET

### III.A. OPERATING BUDGET:

Other (specify)

TOTAL REVENUE

Please provide budget information for **this program only**, (not the entire agency, unless this is the agency's sole program). Columns 2-4 cover the **total** revenues and costs for this program, including the funds you are applying for in this funding application. Column 5 shows just the CDBG or HOME amount requested in this application, and how those funds are to be used. **If you do not use our fiscal year (July 1-June 30) for your budgeting, please amend the column headings accordingly.** 

| 1. What is your agence  | ev's fiscal vear              | ?  |                                 | <del></del> 1                             |                                 | 40,7                                      | Deleted: ¶   |
|---|-------------------------------|--|---------------------------------|---|---------------------------------|---|--|
| 1. What is your agone   | oy s risear year              | •  |                                 | *   |                                 |   | Formatted: Font color: Auto  |
| <ol> <li>Does this program</li> <li>If yes, please estin</li> <li>What is your estim</li> </ol> | mate the percen               | tage of program                            | effort provided                 |   |                                 |   | Formatted: Numbered + Level: 1 + Numbering Style: 1, 2, 3, + Start at: 1 + Alignment: Left + Aligned at: 0.25" + Tab after: 0.5" + Indent at: 0.5" |
|   | <u> </u>                      |  |                                 |   |                                 | **************************************    | Deleted: <#>What is your estimated agency budget for FY2008?¶  |
| <b>*</b>  |                               |  |                                 |   |                                 | 11 11 11 11 11 11 11 11 11 11 11 11 11    | Formatted: No underline, Font color: Auto  |
| Revenues  |                               |  |                                 |   |                                 | 11 11 1                                   | Formatted: Bullets and Numbering   |
| 1   | 2                             | 3  | 4                               | 5   | 6                               | 111                                       | Formatted: Font color: Auto  |
| Source  | 7/1/05 –<br>6/30/06<br>Actual | 7/1/06 –<br>6/30/07<br>As Now<br>Projected | 7/1/07 –<br>6/30/08<br>Proposed | 7/1/07 –<br>6/30/08<br>This Grant<br>Only | 07/08 Funding Committed? YES/NO | \$ 1 to 1 t | Formatted: Numbered + Level: 1 + Numbering Style: 1, 2, 3, + Start at: 1 + Alignment: Left + Aligned at: 0.25" + Tab after: 0.5" + Indent at: 0.5" |
| This Grant  | <b>v</b>                      |  |                                 |   | NO                              | "   | Deleted: ¶   |
| CDBG Program Income   |                               |  |                                 |   |                                 | \ '\ '                                    | Formatted: Underline   |
| CDBG 110grain income  |                               |  |                                 |   |                                 | \ \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\    | Formatted: Bullets and Numbering   |
| Other Grants (list):  |                               |  |                                 |   |                                 | \   | Formatted: Font color: Auto  |
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|   |                               |  |                                 |   |                                 |   |  |
| Support from the Public   |                               |  |                                 |   |                                 |   |  |
| Program Fees  |                               |  |                                 |   |                                 | 1   |  |

Please make sure that proposed Expenditures correspond to proposed Revenues.

### Expenditures

| 1   | 2                         | 3                                       | 4                               | 5   |   |          |
|---|---------------------------|---|---------------------------------|---|---|----------|
| Line Item   | 7/1/05 -6/30/06<br>Actual | 7/1/06 – 6/30/07<br>As now<br>Projected | 7/1/07 –<br>6/30/08<br>Proposed | 7/1/07 –<br>6/30/08<br>This Grant<br>Only |   |          |
| Salaries  |                           |   |                                 |   |   |          |
| Taxes & Fringe Benefits                           |                           |   |                                 |   |   |          |
| Professional Fees                                 |                           |   |                                 |   |   |          |
| Supplies  |                           |   |                                 |   |   |          |
| Telephone   |                           |   |                                 |   |   |          |
| Postage   |                           |   |                                 |   |   |          |
| Occupancy Costs                                   |                           |   |                                 |   |   |          |
| Equipment Maintenance                             |                           |   |                                 |   |   |          |
| Printing & Publications                           |                           |   |                                 |   |   |          |
| Travel &Training                                  |                           |   |                                 |   |   | D        |
| Direct Assistance to Clients                      |                           |   |                                 |   |   | / ¶      |
| Membership Dues                                   |                           |   |                                 |   | 1   | D        |
| Indirect Costs                                    |                           |   |                                 |   |   | Fr D     |
| Other   |                           |   |                                 |   | ; (1)<br>; (1)<br>; (1)                   | <u> </u> |
| TOTAL EXPENDITURE                                 |                           |   |                                 |   | 11 <br>  11 <br>  11                      | -        |
| Excess (shortfall) of<br>Revenue over Expenditure |                           |   |                                 | 0   | ; 10;<br>; 10;<br>; 10;<br>; 10;<br>; 10; | -<br> -  |

| 111. D. EXI LANATION OF DODOET CHANGES. 1011 and large changes in expenditures from                            | - 1        |
|--|------------|
| year to year (a "large change" is an increase or reduction of more than 10% in a line item, but you may ignore | - ;<br>:   |
| changes less than \$1000 <u>.</u>  | ر ر<br>راك |
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### III. C. CDBG/HOME STAFF COSTS: <u>Compete for all staff positions for this program</u>. Add lines as needed to include all <u>persons included</u> on the salary line in expenditure table III.A (page 7).

| Position Title | Annual Salary | % Time to be spent<br>on this program | % Salary to be paid by CDBG | CDBG Request |
|----------------|---------------|---------------------------------------|-----------------------------|--------------|
|                |               |                                       |                             |              |
|                |               |                                       |                             |              |
|                |               |                                       |                             |              |
|                |               |                                       |                             |              |
|                |               |                                       | TOTAL:                      |              |

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**Deleted:** If application includes CDBG or HOME funding for salaries or fringe benefits, please complete this table.

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### III.D. IN-KIND SUPPORT (optional)

If your program will receive significant non-cash support (e.g. donated goods or services, volunteer labor, loans provided directly to clients by third parties through your efforts), you should list it here (as a \$ value) so we can take it into account in estimating "leverage". Volunteer labor should be valued at \$10 per hour unless you can justify a larger amount (e.g. for donated professional services).

### III.E. PROGRAM INCOME, CBDO and CHDO PROCEEDS

(This section is to be completed only by Buncombe & Madison Counties, EMSDC, HAC, Habitat, NHS, MHO, MMLF & WCCA.)

Program income, CBDO and CHDO proceeds are income directly generated by the use of CDBG, HOME, or other Federal funds. Examples include the repayment of CDBG or HOME loans that you have made, the net proceeds from the sale of homeowner units, or rent of assisted property. *If you have any questions, please contact CD staff.* 

- List the specific programs operated by your organization that generate CDBG or HOME program income and CBDO and CHDO proceeds:
- 2. How does your organization currently use program income and CBDO and CHDO proceeds?
- Complete the table below for your organization's on-hand and projected CDBG and HOME program income.
   Remember to include program income from <u>all</u> of your CDBG or HOME programs.

|                                     | CDBG             | CBDO             | HOME             | CHDO             |
|-------------------------------------|------------------|------------------|------------------|------------------|
|                                     | Program Income   | Proceeds         | Program Income   | Proceeds         |
| Balance at 12/31/06                 | \$               | \$               | \$               | \$               |
| Estimated receipts 1/1/07 – 6/30/07 | \$               | \$               |                  |                  |
| Proposed Program                    | Program / Amount | Program / Amount | Program / Amount | Program / Amount |
| Use & Amount                        |                  |                  |                  |                  |
|                                     |                  |                  |                  |                  |
|                                     |                  |                  |                  |                  |
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### SECTION IV AGENCY MANAGEMENT

(Consortium Member Governments do not need to complete this section)

| IV.A. | ORGANIZATION  |   |            |                                  |                            |             |                             |  |  |
|-------|---|---|------------|----------------------------------|----------------------------|-------------|-----------------------------|--|--|
| 1.    | What is your organization mission statement?  |   |            |                                  |                            |             |                             |  |  |
| 2.    | Incorporation date (Mont  |   |            |                                  |                            |             |                             |  |  |
| 3.    | Number of staff employe   | ed (full tim  | ne equival | ents)                            |                            |             |                             |  |  |
| 4.    | Does your organization halready on file with the C  |   |            | wing <u>written</u> management p | policies? If recently upda | ated or not | Formatted: Font color: Auto |  |  |
|       | Policy  | Yes   | No         | Date Last Updated                | On file with City?         |             |                             |  |  |
|       | Personnel policy  | 103   | 110        | Dute East Opulied                | on the with city.          | -           |                             |  |  |
|       | Purchasing policy   |   |            |                                  |                            |             |                             |  |  |
|       | Code of conduct   |   |            |                                  |                            |             |                             |  |  |
|       | Indirect Cost   |   |            |                                  |                            |             |                             |  |  |
|       | Allocation Plan   |   |            |                                  |                            |             |                             |  |  |
|       | programs, experience of k BOARD OF DIRECT   | <b>Deleted:</b> any other features relating to agency capacity that you consider relevant). |            |                                  |                            |             |                             |  |  |
| 1.    | How many board member   | Formatted: Font color: Auto   |            |                                  |                            |             |                             |  |  |
| 2.    | How many do you actually have at this date?   |   |            |                                  |                            |             |                             |  |  |
| 3.    | How often does your board meet?   |   |            |                                  |                            |             |                             |  |  |
| 4.    | What was the actual attendance at each of the last three regular Board meetings?                      |   |            |                                  |                            |             |                             |  |  |
| 5.    | Have you failed to reach a quorum at any Board meetings in the last 12 months? If so, how many times? |   |            |                                  |                            |             |                             |  |  |
| 6.    | Do any of your organization's staff members serve on your board?                                      |   |            |                                  |                            |             |                             |  |  |
| 7.    | What efforts do you mak   | e to ensur  | e that you | r board represents the comm      | nunity it serves?          |             |                             |  |  |
|       |   |   |            |                                  |                            |             | Deleted: <#>IV.D ¶          |  |  |

## SECTION V DISCLOSURE OF POTENTIAL CONFLICTS OF INTEREST

Are any Board Members or employees, or members of their immediate families, or their business associates? a) Employees of or closely related to employees of the City's Planning and Development Department: YES \_\_\_ NO \_\_\_ YES \_\_\_ NO \_\_\_ b) Members of or closely related to Members of City Council: c) Current beneficiaries of the program for which funds are requested: YES \_\_\_ NO \_\_\_ d) Paid providers of goods or services to the program or having other financial interest in the program: YES \_\_\_ NO. Deleted: Formatted: Indent: Left: 0", First e) Creditors (i.e. persons who have made loans to the agency or line: 0" provided loan collateral): Deleted: Formatted: Numbered + Level: 1 + If you have answered YES to any question, please attach a full explanation. The existence of a potential conflict of Numbering Style: a, b, c, ... + Start at: 5 + Alignment: Left + Aligned at: interest does not necessarily make your agency ineligible for funding, but the existence of an undisclosed conflict 0.5" + Tab after: 0.75" + Indent at: 0.75" may result in the termination of any grant awarded. Formatted: Indent: Left: 0.75", First line: 0" Formatted: Font color: Auto

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